



# JAPAN ANTI-CORRUPTION FORUM



THOMSON REUTERS™

DATE: 19 NOVEMBER 2014  
LOCATION: HOTEL OKURA TOKYO,  
2-10-4 TORANOMON MINATO-KU TOKYO

With an increasingly complex regulatory and enforcement environment impacting corporations worldwide, Asian Legal Business is pleased to present a timely full-day conference “**ALB Japan Anti-Corruption Forum 2014**”. Continuous assessments and disciplined improvements are the lifeblood of an effective anti-corruption compliance program. We invite you to join senior in-house legal counsel, compliance professionals and directors from both Japanese and international companies to learn how to:

- Integrate the compliance role within business strategy
- Implement and review internal efficiencies to avoid high-risk actions that could jeopardize your business
- Raise awareness of activities that may have legal implications – learn how to deal with rogue operators within the company
- Negate reputational risks associated with corruption investigations
- Establish core values & ethics and driving the compliance process
- Understand the role of in-house counsel in decreasing the vulnerability to fraud and corruption
- Manage bribery investigations for businesses working across multiple jurisdictions
- Stay abreast of changes in the law in Japan and in the regions that may affect your company/subsidiaries
- Conducting comprehensive anti-corruption risk assessments to identify potential risks:

This conference is a must-attend for representatives of legal, risk or compliance teams in Japanese or international companies, who need to know how to implement appropriate training internally, how to establish safeguards to negate potential corruption risks and be aware of what to do in the case of a regulatory investigation. Detailed case studies at the event include:

- Understanding the scope of key international legislation (*FCPA, UK Anti-Bribery Act*) as it relates to business in Japan in 2015 - has your exposure to risk changed?
- Creating, implementing and driving a watertight anti-corruption strategy
- Legal, ethical and business challenges for local and global operations
- How due diligence can be enhanced with third party contracts, M&A and joint venture activities, and the hiring of new staff
- Understanding the steps to take when an investigation occurs; what information you need to provide and the practical steps to do so
- The pros and cons of combined versus separate legal and compliance functions, and what each can bring to a comprehensive anti-corruption strategy

**REGISTER BEFORE 24<sup>th</sup> OCTOBER  
AND SAVE UP TO US\$500!**

Early booking delegate rates: In-house legal or compliance teams – **US\$345** (full price US\$495)

Law firms, service providers, consultants – **US\$895** (full price US\$1,395)

Book 5 passes and receive an additional 20% off each pass

To book please visit: [www.regonline.com/japan\\_anticorruption](http://www.regonline.com/japan_anticorruption)

For more information on this conference, please contact [huiti.sheila.lum@thomsonreuters.com](mailto:huiti.sheila.lum@thomsonreuters.com) or call international dial **+65 6870 3252**.

To join the speaker faculty, please email [amantha.chia@thomsonreuters.com](mailto:amantha.chia@thomsonreuters.com)

[www.legalbusinessonline.com/conferences/japan-anti-corruption2014](http://www.legalbusinessonline.com/conferences/japan-anti-corruption2014)

## EXPERT SPEAKERS INCLUDE



**KIM I. STOLLAR**  
*Regional Counsel (China, Japan and Korea)*  
*Global Law Affairs*  
**The Boeing Corporation**



**MITSURU CLAIRE CHINO**  
*Executive Officer General Manager, Legal Division*  
**Itochu Corporation**



**ROYANNE DOI**  
*Vice President and Corporate Chief Ethics Officer, Global Business Ethics & Integrity*  
**Prudential Financial, Inc.**



**LEE D. AUGSBURGER**  
*Senior Vice President, Chief Ethics and Compliance Officer*  
**Prudential Financial, Inc.**



**CHIEKO EDA**  
*Head of Japan Office, Johnson Law Department*  
**Johnson & Johnson K.K.**



**DAVID GILMORE**  
*Partner*  
**Herbert Smith Freehills Tokyo**



**DOMINIC ROUGHTON**  
*Partner*  
**Herbert Smith Freehills Tokyo**



**ELAINE WONG**  
*Senior Associate*  
**Herbert Smith Freehills Tokyo**



**EDMOND COURTROU**  
*General Counsel & Chief Compliance Officer*  
**Galileo Japan K.K.**



**TAKAMIKI NISHIKAWA**  
*Senior Legal Counsel*  
**Fox International Japan**



**TIM HEWITT**  
*(Attorney at Law), Senior International Legal Counsel*  
**KVH Co., Ltd**



**HIROMI FURUSHIMA**  
*Head of Pharma Legal Department; Legal/IP Department*  
**Novartis Pharma K.K.**



**KAYE NAOKO YOSHINO**  
*Partner*  
**Paul, Weiss, Rifkind, Wharton & Garrison LLP**



**YASUSHI MUROFUSHI**  
*MD General Counsel & Head of Legal & Compliance*  
**Credit Suisse Securities (Japan) Ltd.**



**VICKI BEYER**  
*Labor & Employment Counsel, Senior Manager*  
**Accenture**



**ANDREW SAVAGE**  
*Senior Manager, Geographic Support Legal Lead for Japan and South Korea*  
**Accenture**



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## PROGRAM AGENDA

**08:30**

### Registration and networking

**09:00**

### Chair's welcoming address

**09:15**

### Panel session - Managing corruption issues across multiple jurisdictions/subsidiary governance

- Successfully overcoming objections by subsidiaries and contractors without US compliance obligations
- Navigating potential conflicts of interest with referrals during hiring, intern placements, awarding contracts to 3rd parties
- Case studies

TIM HEWITT

Senior International Legal Counsel  
KVH Co Ltd.

KIM STOLLAR

Regional Counsel (China, Japan and Korea) Global Law Affairs  
The Boeing Company

SATOSHI SATO

Director, General Counsel, Legal & IPR Department  
Sony Mobile Communications, Inc.

**10:15**

### Networking refreshment break

**10:45**

### Recent trends in anti- corruption enforcement action in Asia Pacific - including case studies

- Recent developments in the enforcement of anti-corruption regulations
- The enforcement climate in different jurisdictions in Asia
- Challenges frequently encountered in multi-jurisdiction enforcement actions
- Practical tips on how to avoid issues of corruption and how to deal with them if they do arise

### Bribery, corruption and their effect on third party dispute resolution: some observations

- Effect of bribery and corruption on an arbitration clause
- Effect of bribery and corruption on enforcement of an arbitration award
- Managing the consequences of an allegation of bribery and corruption in an arbitration

DAVID GILMORE

Partner  
Herbert Smith Freehills Tokyo

DOMINIC ROUGHTON

Partner  
Herbert Smith Freehills Tokyo

ELAINE WONG

Senior Associate  
Herbert Smith Freehills Tokyo

**12:00**

### Panel session - FCPA & UK Bribery Act: how these laws relate to the Japanese subsidiary of a foreign company

- How far can a country go in mandating a territorial law
- Challenges for minority parties of joint ventures operating in high risk areas

TAKAMIKI NISHIKAWA

Senior Legal Counsel  
Fox International

MITSURU CLAIRE CHINO

Executive Officer and General Counsel  
Itochu Corporation

KAYE NAOKO YOSHINO

Partner  
Paul, Weiss, Rifkind, Wharton & Garrison LLP

SHIN HONMA

PwC - Forensic Services  
PricewaterhouseCoopers Co., Ltd.

**12:45**

### Lunch break

**13:45**

### Panel session - Protecting the reputation and reducing risks for the organization: Compliance vs. Ethics

- Establishing core values & ethics
- Driving the compliance process
- Best practices for in-house counsel in adapting a compliance program to be effective with a global workforce, maintain integrity and protect brand consistency across borders
- Leadership, ethics strategies, and challenges in building and communicating a culture of ethics
- Establishing an ethical culture, setting the tone from the top, down and managing reputational risk.

Moderated by:

ROYANNE DOI  
Vice President and Corporate Chief Ethics Officer,  
Global Business Ethics & Integrity  
Prudential Financial Inc.

Panelists include:

LEE AUGSBURGER  
Chief Compliance and Ethics Officer, and Chairman  
of the US Ethics Compliance Officers Association  
Prudential Financial Inc.

ANDREW SAVAGE

Senior Manager, Geographic Support Legal Lead for  
Japan and South Korea  
Accenture

HIROMI FURUSHIMA

Head of Pharma Legal Dept  
Novartis Pharma K.K.

**14:45**

### Panel session - Combining versus separating the legal and compliance functions – the view from both sides – a lively and interactive brainstorming session.

This discussion will also share insights on the emerging challenges for the in-house counsel working across compliance matters and the evolving responsibilities faced in a changing regulatory environment.

Moderated by:

VICKI BEYER  
Labor & Employment Counsel, Senior Manager  
Accenture

Panelists include:

YASUSHI MUROFUSHI  
MD General Counsel & Head of Legal & Compliance  
Credit Suisse

CHIEKO EDA

Head of Japan Office, Johnson Law Department  
Johnson & Johnson K.K.

EDMOND COURTOUL

General Counsel & Chief Compliance Officer  
Galileo Japan K.K.

**15:45**

### Networking refreshment break

**16:15**

### Effectively managing bribery and corruption risks: Conducting an Effective Anti-Corruption Risk Assessment - How to Determine Your Risk Profile and Design the Right Internal Controls

- How to conduct comprehensive and complete anti-corruption risk assessments to identify potential risks.
- Implementing compliance standards, procedures and training
- How to manage risks presented by agents, distributors, vendors, and other third party business partners
- How to determine whether your organization has sufficient books and records?
- Testing higher risk transactions for deeper analysis
- What are the red flags for transactions used to channel bribes

SHIN HONMA

PwC - Forensic Services  
PricewaterhouseCoopers Co., Ltd.

**17:15**

### Chair's closing remarks

**17:25**

### Conference closes

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