****

**2025 ALB China Top 15 Chief Compliance Officers**

 **Submission Form**

**2025 ALB China 十五佳首席合规官评选表格**

|  |
| --- |
| \*Submission form can be handed in by the Chief Compliance Officer, the assistant or in-house team. Only one entry is allowed per organization. 表格可以由首席合规官自己提交，也可以由助理和团队帮助提交，一家公司仅限提交一份。\*The submissions are open to all Chief Compliance Officers or head of compliance affairs based in mainland China.参与者需为常驻中国大陆工作的首席合规官或公司合规事务最高负责人。\* Submission form can be filled in Chinese, or English or bilingual. 表格以中文或英文或中英双语填写均可。\* The deadline for submission is Wednesday 18:00 March 19, 2025 (Beijing time). 提交报名截止时间为2025年3月19日(周三)下午六点。 \* Please mail your submission to: TRALBRanking@thomsonreuters.com 请于截止日期之前将报名表格发送到: TRALBRanking@thomsonreuters.com |

|  |
| --- |
| **BASIC INFORMATION基本信息** |

|  |
| --- |
| Please provide the basic details of Chief Compliance Officers or head of compliance affairs.请提供首席合规官或公司合规事务最高负责人的基本信息。In case of magazine publication, the candidate’s name and title as well as the name of company will be as what filled in the below. Please fill in it accurately in both English and Chinese. Bilingual is not required for other information.如涉及杂志刊登，候选人的姓名、职务及公司的名称将以以下填写为准，请同时以英文、中文准确填写。其他信息无需双语填写。 |
| **Name姓名****\*Please fill this blank with both English and Chinese****\*请以中英双语填写本项信息** |  | **Company Name公司名称****\*Please fill this blank with both English and Chinese****\*请以中英双语填写本项信息** |  |
| **Title职务****\*Please fill this blank with both English and Chinese****\*请以中英双语填写本项信息** |  | **Number of years in the Company****在公司工作时长** |  |
| **Number of years as Chief Compliance Officers****担任首席合规官时长** |  | **Number of people in the compliance team****管理合规团队人数** |  |
| **Chief Compliance Officer is directly report to: (title)****首席合规官的工作直接汇报给：（职务）** |  | **Chief Compliance Officer’s direct reporting line (title)****首席合规官的直属向下管理（职务）** |  |
| **Chief Compliance Officer based in****首席合规官所在城市** |  | **Highest degree and school****最高教育程度和毕业院校** |  |
| **Previous career(s) and duration****此前职业经历及年份** |  | **Contact person name, title, tel and email****联系人姓名、职务及联系方式** |  |

|  |
| --- |
| **REASONS FOR NOMINATION 主要工作成绩** |
| Please specify the complexity, impact, innovation or improvement of the achievements and work. 请描述成就和工作内容的复杂性、影响、创新性或改进。Please clearly mark CONFIDENTIAL next to the content that are not publishable. 如有内容不能发表，请明确在其旁边标注“保密”。 |

|  |  |
| --- | --- |
| **Please briefly introduce the company, as well as its compliance culture or compliance system, rules, etc.****请简要介绍公司基本情况，以及公司的合规文化或合规体系、制度等。** |  |
| **Please list up to five most significant achievements or initiatives introduced into the company．****请列举该首席合规官在贵公司的主要成就或在公司启动的重大合规项目（不超过5项）：** |  |
| **Please list up to five significant work matters that the Chief Compliance Officer took part in the last 12 months****过去一年中首席合规官的主要工作内容（不超过5项） ：** |  |
| **Please list any significant accolades the Chief Compliance Officer has received for work in the form of company or public recognition, awards etc.****该首席合规官个人曾获得的公司内部或外部奖项：** |  |
| **Previous work experience in the compliance area****之前与合规相关的工作经验和成就：** |  |
| **Other relevant information (including, but not limited to, pro-bono work, charity work, social service etc.)****其他提名理由（包括但不限于法律援助，慈善活动，社会公益工作等）** |  |
| **Motto 座右铭** |  |

|  |
| --- |
| **Please provide the feedback from at least one business partner/business leader请提供至少一名公司内部业务伙伴/领导的反馈** |

|  |  |
| --- | --- |
| **Name****姓名** |  |
| **Title****职务** |  |
| **Tel, Email****联系方式** |  |
| **Feedback to the Chief Compliance Officer’s work****对该首席合规官工作的评价** |  |
| **Name****姓名** |  |
| **Title****职务** |  |
| **Tel, Email****联系方式** |  |
| **Feedback to the Chief Compliance Officer’s work****对该首席合规官工作的评价** |  |
| **Name****姓名** |  |
| **Title****职务** |  |
| **Tel, Email****联系方式** |  |
| **Feedback to the Chief Compliance Officer’s work****对该首席合规官工作的评价** |  |

**By submitting any information and materials to Thomson Reuters, submitter is agreed with below content:**

All information, materials and submission provided to Thomson Reuters is legally available and not partially or totally, directly or indirectly encumbered by rights owned by third parties. By submitting any information and materials to Thomson Reuters, I understand and agree that neither Thomson Reuters nor its employees shall be liable for any loss, demand, claim or cause of action of any kind, for defamation, invasion of privacy, publicity or any similar matter whether or not such loss, demand or claim is foreseeable or not. Thomson Reuters shall not be responsible for any claims from third parties (whether grounded on intellectual property rights infringements, defamation, or breach of confidentiality or otherwise) related to Thomson Reuters’ publication of the information, materials and submission provided by you. All information, materials and submission provided to Thomson Reuters will be published as-is, without alteration or modification and the submitter will be fully responsible for its submission.